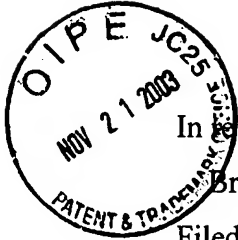


2643 #3  
S.T.  
12-01-03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Brown et al.

Filed: 2/21/02

Serial No:10/081,017

Title: **THIRD PARTY REGULATION OF  
CALLS BASED ON THE CALLER AND  
CALLEE PAIR TO A CALL**

: Group Art Unit:2643

: Intellectual Property

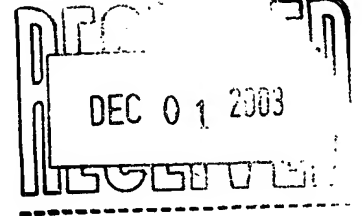
: Law Department

: International Business

: Machines Corporation

: 11400 Burnet Road

: Austin, Texas 78758



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November 18, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 18, 2003.

Amy J. Pattillo

 11/18/03  
Signature Date

INFORMATION DISCLOSURE STATEMENT

Mail Stop

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or

other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449 in accordance with 37 CFR 1.98 (a)(2).

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



Amy J. Pattillo  
Attorney  
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In Place of FORM PTO-1449 (Modified)

Serial No. 10/081,017

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Applicant: Brown et al.

Filing Date: 2/21/02

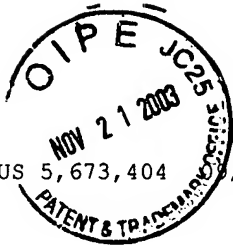
Group: 2643

Atty. Docket No. AUS920010847US1

## Reference Designation

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- class</u>	<u>Filing Date if Appropriate</u>
___ AA	US 6,359,971 B1	3/19/02	User Display in Speech Recognition System	379	88.01	8/21/95
___ AB	US 6,178,230 B1	01/23/01	System and Method for Identifying A Callee of an Incoming Telephone Call	379	67.1	11/13/97
___ AC	US 6,122,357	9/19/00	Providing Enhanced Services Through Double SIV and Personal Dial Tone	379	207	4/8/98
___ AD	US 6,101,242	8/8/00	Monitoring for Key Words with SIV to Validate Home Incarceration	379	88.02	12/23/97
___ AE	US 6,058,364	5/2/00	Speech Recognition of Customer Identifiers Using Adjusted Probabilities Based on Customer Attribute Parameters	704	252	11/20/97
___ AF	US 6,038,305	3/14/00	Personal Dial Tone Service with Personalized Caller ID	379	207	8/1/97
___ AG	US 5,946,654	8/31/99	Speaker Identification Using Unsupervised Speech Models	704	246	2/21/97
___ AH	US 5,940,476	8/17/99	System and Method for Identifying an Unidentified Caller	379	88.02	6/28/96
___ AI	US 5,915,001	6/22/99	System and Method for Providing and Using Universally Accessible Voice and Speech Data Files	379	88.22	11/14/96
___ AJ	US 5,790,637	8/4/98	Extended Voice Messaging	379	67	2/16/96



_____ AK	US 5,673,404	11/30/97	End-User Customizable Feedback Display for Windowed Applications	395	347	12/20/95
_____ AL	US 5,566,229	10/15/96	Voice Directed Communications System Employing Shared Subscriber Identifiers	379	88	8/24/92
_____ AM	US 5,499,288	3/12/96	Simultaneous Voice Recognition and Verification to Allow Access to Telephone Network Services	379	88	3/22/94

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
_____ AL	JP 10294784A	11/04/98	Japan	HO4M1	57	No
_____ AM	JP 8139797A	05/31/96	Japan	HO4M1	274	No
_____ AN	EP 0585004A2	03/02/94	European	HO4M3	44	Yes
_____ AO	EP 0676882A2	10/11/95	European	HO4M1	27	Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner  
Initial

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.